GERMAN YEARBOOK OF INTERNATIONAL LAW

VOLUME 37 · 1994



DUNCKER & HUMBLOT · BERLIN

GERMAN YEARBOOK OF INTERNATIONAL LAW Volume 37 · 1994

The Editors and the Institut für Internationales Recht do not make themselves in any way responsible for the views expressed by contributors

This Yearbook may be cited: GYIL 37 (1994)

Communications should be addressed to:

The Editors German Yearbook of International Law Institut für Internationales Recht an der Universität Kiel Olshausenstrasse 40 D-24098 Kiel

GERMAN YEARBOOK OF INTERNATIONAL LAW

JAHRBUCH FÜR INTERNATIONALES RECHT

Volume 37 · 1994



DUNCKER & HUMBLOT / BERLIN

Founders:

Rudolf Laun · Hermann von Mangoldt

Editor:

Jost Delbrück

Assistant Editors: Maureen A. Convery and Stephan Hobe Institut für Internationales Recht an der Universität Kiel

Advisory Board of the Institute:

Daniel Bardonnet

l'Université de Paris II

Rudolf Bernhardt

Max-Planck-Institut für ausländisches öffentliches Recht und Völkerrecht, Heidelberg

Lucius Caflisch Institut Universitaire de Hautes Études Internationales, Genève

Antonius Eitel Bonn

Luigi Ferrari Bravo Università di Roma

Louis Henkin Columbia University, New York

Tommy T. B. Koh Singapore John Norton Moore University of Virginia, Charlottesville

Fred L. Morrison University of Minnesota, Minneapolis

Albrecht Randelzhofer Freie Universität Berlin

Krzysztof Skubiszewski Warsaw

Christian Tomuschat Universität Bonn

Sir Arthur Watts London

Rüdiger Wolfrum

Max-Planck-Institut für ausländisches öffentliches Recht und Völkerrecht, Heidelberg

All rights reserved © 1995 Duncker & Humblot GmbH, Berlin Printed by Berliner Buchdruckerei Union GmbH, Berlin Printed in Germany ISSN 0344-3094

ISBN 3-428-08150-1

Articles

| Hanspeter Neuhold: Perspectives of Austria's Membership in the European Union | 9 |
|---|-----|
| Josef Doswald: The Future of EFTA | 40 |
| Mahulena Hošková: Legal Aspects of the Integration of the Czech Republic and Slovakia into European Security and Economic Structures | 68 |
| Kay Hailbronner: The European Union from the Perspective of the German Constitu- tional Court | 93 |
| Stephan Hobe: The German State in Europe After the Maastricht Decision of the German Constitutional Court | 113 |
| Frederick M. Abbott: The Maastricht Judgment, the Democracy Principle, and US Participation in Western Hemispheric Integration | 137 |
| Surya P. Subedi: The Doctrine of Objective Regimes in International Law and the Competence of the United Nations to Impose Territorial or Peace Settlements on States | 162 |
| Claudia Annacker: Part Two of the International Law Commission's Draft Articles on State Responsibility | 206 |
| Volker Röben: The Sciences — A Contribution to Understanding the Law on an Activity of International Concern | 254 |
| Wolff Heintschel von Heinegg and Michael Donner: New Developments in the Protec- tion of the Natural Environment in Naval Armed Conflicts | 281 |
| Miquel Montañà i Mora: International Law and International Relations Cheek to Cheek: an IL / IR Perspective on the Uruguay Round Negotiations on Agriculture | 315 |
| J. William Hicks: The Listing of Daimler-Benz A.G. Securities on the NYSE: Con- flicting Interests and Regulatory Policies | 360 |

German Section

| Stephan Hobe and Christian Tietje: Government Criminality and Human Rights: | |
|--|-----|
| Restrictions upon State Sovereignty for Criminal Acts Committed by State Offi- | |
| cials as an Aspect of German Unification | 386 |

Note

| Jeremy Heep: From Private to Public: Giving Effect to the "Debt" Component | of | |
|--|----|---|
| Debt-For-Nature Swaps | 42 | 2 |

Reports

| Dørte Pardo López: Die Rechtsprechung des Internationalen Gerichtshofes in den Jahren 1993 und 1994 | 446 |
|--|-----|
| Daniela Krantz: Die Rechtsprechung des Europäischen Gerichtshofs für Menschen- rechte 1993 | 489 |

Book Reviews

| Assunção / Fuhr / Späth: Internationale Organisationen, Entwicklungsverwaltungen und Kleingewerbeförderung in der Dritten Welt (Dicke) | 514 |
|---|-----|
| Benkö / Schrogl (eds.): International Space Law in the Making (Hobe) | 515 |
| Bruhács: The Law of Non-navigational Uses of International Watercourses (Harders) | 517 |
| Chowdhury / Denters / de Waart: The Right to Development in International Law (Hobe) | 519 |
| Dannemann: An Introduction to German Civil and Commercial Law (Convery) | 522 |
| Dauses (Hrsg.): Handbuch des EG-Wirtschaftsrechts (Tietje) | 523 |
| Dinstein: War, Aggression and Self-Defence (Delbrück) | 524 |
| Dupuy: Droit international public (Pardo López) | 527 |
| Franckx: Maritime Claims in the Arctic: Canadian and Russian Perspectives (Harders) | 528 |

| Geppert: Europäischer Rundfunkraum und nationale Rundfunkaufsicht. Zur Rolle und Zukunft der deutschen Landesmedienanstalten in einem europäischen Rundfunk- system (Delbrück) | 530 |
|--|-----|
| <i>Graf Vitzthum</i> (ed.): Europäische und Internationale Wirtschaftsordnung aus der Sicht der Bundesrepublik Deutschland (<i>Delbrück</i>) | 532 |
| Gutiérrez Espada: Derecho internacional público, 1: Introducción y fuentes (Pardo López) | 534 |
| Gutiérrez Espada: El sistema institucional de la Unión Europea (Pardo López) | 535 |
| Hahn: Der Vertrag von Maastricht als völkerrechtliche Übereinkunft und Verfassung (König) | 536 |
| Henkin / Hargrove (eds.): Human Rights: An Agenda for the Next Century (Partsch) | 538 |
| Hohmann: Präventive Rechtspflichten und -prinzipien des modernen Umweltvölker- rechts. Zum Stand des Umweltvölkerrechts zwischen Umweltnutzung und Um- weltschutz (Riedel) | 542 |
| Hohmann (ed.): Basic Documents of International Environmental Law (Riedel) | 542 |
| Hannum (ed.): Guide to International Human Rights Practice (Kenny) | 546 |
| Isensee / Kirchhof (Hrsg.): Handbuch des Staatsrechts (Hobe) | 547 |
| Kahl: Umweltprinzip und Gemeinschaftsrecht (Schmitz) | 553 |
| Kiss / Shelton: Manual of European Environmental Law (Hobe) | 554 |
| Nollkaemper: The Legal Regime for Transboundary Water Pollution: Between Dis- cretion and Constraint (König) | 555 |
| Nowak: U.N. Covenant on Civil and Political Rights — CCPR Commentary (de Zayas) | 557 |
| Packer / Myntti (eds.): The Protection of Ethnic and Linguistic Minorities in Europe (de Zayas) | 562 |
| Saksena: Reforming the United Nations. The Challenge of Relevance (Dicke) | 564 |

| Schabas: The Abolition of the Death Penalty in International Law (Hobe) | 567 |
|--|-----|
| Schenk: Asylrecht und Asylverfahrensrecht (Hobe) | 568 |
| <i>Marx:</i> Asylrecht Band 1: Asylrecht und Menschenrechte, Gesetze und völkerrechtliche Verträge <i>(Hobe)</i> | 568 |
| Schütz: Solidarität im Wirtschaftsvölkerrecht (Tietje) | 569 |
| Shute / Hurley (eds.): On Human Rights, The Oxford Amnesty Lectures 1993 (Convery) | 571 |
| Stocker: Das Prinzip des Common Heritage of Mankind als Ausdruck des Staaten- gemeinschaftsinteresses im Völkerrecht (Hobe) | 574 |
| Thompson: Justice and World Order. A Philosophical Inquiry (Dicke) | 576 |
| Books Received | 579 |

List of Contributors

582

ARTICLES

Perspectives of Austria's Membership in the European Union

By Hanspeter Neuhold

I. Introduction

On 12 June 1994, 82 percent of the Austrian electorate went to the polls to vote on the country's European Union (EU) membership. The clear-cut result of the referendum even surprised many insiders: A two-thirds majority (66.58 percent) declared itself in favor of Austria's entry into the Union. The last obstacle on the road to admission to the EU should thereby have been surmounted. Although the Treaty of Accession must still be ratified by the Union's twelve Member States, this requirement appears more or less a formality. The voters in Finland (on 16 October), Sweden (on 13 November), and Norway (from 27 - 28 November) also have to approve their countries' membership in the Union, but the referenda in the three Nordic applicant countries do not affect Austria's admission.¹ At this writing, a positive vote is expected in Finland, is still an open question in Sweden, and seems unlikely in Norway.²

This article will give a historic survey of Austria's gradual rapprochement with the European Community (EC) culminating in membership. A detailed analysis of the Treaty of Accession would be beyond the scope of this essay. Moreover, important as they were in the debate preceding the referendum and still are for those directly concerned, the numerous transitional provisions in the treaty will recede into the background in a mid-term perspective. Therefore, only the most controversial issue areas will be touched upon. Finally, since the article also has to deal with the perspectives of Austrian EU membership, it is proposed to include Austria's contributions to and priorities within the Union.

¹ Teija Tiilikainen / H. Damgaard Petersen (eds.), The Nordic Countries and the EC, Copenhagen 1993.

² According to polls in June 1994, voters were divided, in percentage points, as follows: in Finland 47 : 27 in favor, in Sweden 45 : 37 against, in Norway 61 : 39 against EU membership. *Nordischer Ministerrat*, Newsletter No. 7, July 1994, 2.

II. The Significance of Austria's Accession to the EU

Austria's entry into the Union has been considered by some as important a turning point in the country's history in the twentieth century as the end of World War I and the ensuing dismemberment of the Dual Monarchy in 1918/ 19, the Anschluß in 1938, Austria's liberation and occupation by the Big Four at the end of World War II, and the reestablishment of Austrian sovereignty under the State Treaty and the adoption of permanent neutrality in 1955. It was in this perspective that Vice-Chancellor Erhard Busek stated that he felt "the breath of history."³

However, there are also those in Austria who see their country's EU membership as the logical conclusion of a step-by-step process which by now has lasted almost half a century. They point to a consistent Western orientation in Austria's post-World War II foreign policy, which was blocked or at least slowed down prior to 1989/90 mainly by Austrian neutrality and, in particular, Soviet objections based on it. As early as 1948, Austria, though under Allied, including Soviet occupation, became a founding member of the Organisation for European Economic Cooperation (OEEC) and thus benefited from US economic assistance under the Marshall Plan. By contrast, Finland did not participate in the European Recovery Program in order not to antagonize the Soviet Union, in accordance with a cautious policy for which the not particularly helpful term "Finlandization" was coined in the West. In 1956, only one year after regaining its sovereignty, Austria was admitted to the Council of Europe; for different reasons, Switzerland joined this regional political organization only in 1963, Finland waited until 1989. In 1959, Austria was one of the founders of the European Free Trade Association (EFTA), designed both to promote trade among States that did not wish to join the supranational European Communities and in order to achieve multilateral bridge-building with these organizations.

III. Austria's "long march to Brussels"

1. The 1972 Free Trade Agreements with the EEC and ECSC

The first leg of Austria's "long march to Brussels" lasted more than ten years.⁴ Like the struggle for the State Treaty, it taught Austrians the extent to which the fate of a small country depends on the general international situation and, in addition, how political considerations may overshadow economic relations.⁵

³ 1994 was said to mark a break with Austria's historic oscillation between orientation toward Germany and Eastern Europe in favor of an unambiguous Western line. *Cf. Hubertus Czernin / Andreas Weber / Christa Zöchling*, Brüssel, nicht Berlin, in: *profil* No. 24 / 14 June 1994, 22 *et seq*.

⁴ Hellmuth Straßer, Der Weg Österreichs zu den Verträgen mit Brüssel, Vienna 1972.

After two EFTA members, Great Britain and Denmark, had applied for European Economic Community (EEC) membership, and on the basis of consultations with the other two neutral EFTA States Sweden and Switzerland, the Austrian Government decided to propose to the EEC negotations on an association agreement under Article 238 of the EEC Treaty, on 12 December 1961. On the same day, the Soviet Union addressed an aide-mémoire to the Austrian government, in which Austria's association with the EEC was declared incompatible with permanent neutrality and the State Treaty. In July 1962, Foreign Minister Bruno Kreisky nevertheless outlined a comprehensive arrangement, which was not limited to the abolition of customs tariffs and quantitative trade restrictions between Austria and the EEC. It also included a far-reaching harmonization of external customs tariffs (and thus a de facto customs union), the coordination of trade policies, and cooperation in other economic sectors. At the same time, Dr. Kreisky pointed out that permanent neutrality required Austria to maintain a certain freedom of action in its economic relations with third States; it also necessitated the right to suspend or even terminate the agreement in the event of armed conflicts, as well as non-participation in economic actions by the EEC against third States for exclusively political purposes in peacetime; moreover, Austria already had to take certain preparations in times of peace to assure supplies in wartime.

When President Charles de Gaulle vetoed further negotiations on admission with Great Britain in 1963, Sweden and Switzerland did not withdraw their requests for association but did not push for negotiations. By contrast, because of its close economic ties to the EEC, Austria decided to "go it alone"; the "agreement *sui generis*" aimed at would also have provided for the adoption by Austria of the Community's common agricultural policy. Despite French reservations concerning the precedent effect and the political advisability of a special arrangement with Austria, negotiations at last got under way in 1965. After agreement between the two sides had been reached on several major issues, the Italian government opposed its veto to an arrangement with Austria until Italy could make sure that Austrian territory was not used for terrorist acts in Italy in the context of the dispute over South Tyrol.

In 1969, after President *de Gaulle*'s resignation, France dropped its second veto (cast in 1967) against membership talks with Great Britain. Thereupon the EC summit in The Hague agreed on negotiations with the four applicants for admission (Denmark, Great Britain, Ireland, and Norway) and, subsequently, with the other EFTA States that did not request membership. In the same year, Italy

⁵ Hanspeter Neuhold, Der Staatsvertrag als Grundlage der österreichischen Außenpolitik, in: Alois Mock / Ludwig Steiner / Andreas Khol (eds.), Neue Fakten zu Staatsvertrag und Neutralität, Vienna 1980, 157 et seq., 158; Gerald Stourzh, Geschichte des Staatsvertrages 1945-1955. Österreichs Weg zur Neutralität, 3rd edition, Graz/Vienna/Cologne 1985.