

Cambridge University Press

052160754X - Logic Programming: Operational Semantics and Proof Theory

James H. Andrews

Frontmatter

[More information](#)

**LOGIC PROGRAMMING:
OPERATIONAL SEMANTICS AND PROOF THEORY**

Cambridge University Press
052160754X - Logic Programming: Operational Semantics and Proof Theory
James H. Andrews
Frontmatter
[More information](#)

Distinguished Dissertations in Computer Science

Edited by
C.J. van Rijsbergen, University of Glasgow

The Conference of Professors of Computer Science (CPCS) in conjunction with the British Computer Society (BCS), selects annually for publication up to four of the best British Ph.D. dissertations in computer science. The scheme began in 1990. Its aim is to make more visible the significant contribution made by Britain - in particular by students - to computer science, and to provide a model for future students. Dissertations are selected on behalf of CPCS by a panel whose members are:

M. Clint, Queen's University, Belfast
R.J.M. Hughes, University of Glasgow
R. Milner, University of Edinburgh (Chairman)
K. Moody, University of Cambridge
M.S. Paterson, University of Warwick
S. Shrivastava, University of Newcastle upon Tyne
A. Sloman, University of Birmingham
F. Sumner, University of Manchester

Cambridge University Press

052160754X - Logic Programming: Operational Semantics and Proof Theory

James H. Andrews

Frontmatter

[More information](#)

LOGIC PROGRAMMING: OPERATIONAL SEMANTICS AND PROOF THEORY

JAMES H. ANDREWS

Simon Fraser University



CAMBRIDGE
UNIVERSITY PRESS

Cambridge University Press
052160754X - Logic Programming: Operational Semantics and Proof Theory
James H. Andrews
Frontmatter
[More information](#)

PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE
The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS
The Edinburgh Building, Cambridge CB2 2RU, UK
40 West 20th Street, New York NY 10011-4211, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
Ruiz de Alarcón 13, 28014 Madrid, Spain
Dock House, The Waterfront, Cape Town 8001, South Africa

<http://www.cambridge.org>

© Cambridge University Press 1992

This book is in copyright. Subject to statutory exception
and to the provisions of relevant collective licensing agreements,
no reproduction of any part may take place without
the written permission of Cambridge University Press.

First published 1992
First paperback edition 2004

A catalogue record for this book is available from the British Library

ISBN 0 521 43219 7 hardback
ISBN 0 521 60754 X paperback

Cambridge University Press

052160754X - Logic Programming: Operational Semantics and Proof Theory

James H. Andrews

Frontmatter

[More information](#)

Contents

Abstract	ix
Acknowledgements	xi
1 Introduction	1
1. Programming Languages and Semantics	1
2. Logic Programming	2
2.1. Declarative Semantics	3
2.2. Operational Semantics	3
2.3. Declarative Semantics Revisited	4
3. The Approach and Scope of This Thesis	4
4. Definitional Preliminaries	6
2 Operational Semantics	9
1. Control Disciplines and their Uses	10
2. Stack-of-Stacks Operational Semantics: SOS	11
2.1. Definitions and Rules	11
2.2. Properties of SOS	13
2.3. Discussion	16
3. Sequential Variants of SOS	17
3.1. Definitions and Rules	17
3.2. Completeness Properties of Sequential Computations	18
4. Compositional Operational Semantics	21
4.1. One-Stack Operational Semantics OS: Parallel Or	21
4.2. One-Stack Operational Semantics OS _o : Sequential Or	23
4.3. One-Formula Operational Semantics Csa	24
5. Some Properties of Existential Quantification	26
5.1. Failure	26
5.2. Success	27
5.3. Solution Completeness	28
6. Summary and Classification of Queries	29
3 Characterising Parallel Systems	31
1. Overview and Definitions	31
2. LKE and Its Soundness	34
3. Axioms for Parallel Validity	37
3.1. Predicate Unfoldings and the F^N sign	37

3.2.	The PAR Axioms and their Validity	38
4.	Completeness: Closed Assertions	40
4.1.	Completeness for Equality Sequents	40
4.2.	Closed Completeness	42
4.3.	Characterisation Results	44
5.	Completeness: Predicate-Free Assertions	45
6.	Discussion	47
6.1.	LKE	47
6.2.	PAR	47
6.3.	Characterising Success Alone	48
6.4.	More Practical Failure Rules	48
4	Characterising Sequential Systems	51
1.	Approaches to Semantics	51
1.1.	Operational Approaches	51
1.2.	Denotational Approaches	52
2.	Disjunctive Unfoldings	52
2.1.	An Initial Attempt at a Characterisation	53
2.2.	Definitions and Examples	54
2.3.	Some Facts about Unfoldings	55
3.	Axioms for Sequential Validity	58
4.	Completeness: Closed Assertions	60
5.	Completeness: Predicate-Free Assertions	63
6.	Success for SOS/so	63
7.	Discussion	67
7.1.	SEQ as a Characterisation of SP	67
7.2.	The Inversion Principle for SEQ	68
5	Approaches to Incompleteness	71
1.	Incompleteness	71
1.1.	The Halting Problem and Divergence	72
1.2.	Gödel Incompleteness	74
2.	Infinitary Methods	75
2.1.	An Infinitary Rule for Free Variables	76
2.2.	Model Checking for Divergence	77
3.	Induction	77
3.1.	Subterm Induction	78
3.2.	Disadvantages of Subterm Induction	79
3.3.	Well-Founded Induction	80
6	Summary and Future Directions	83
1.	Language Extensions	84
1.1.	Iterated Implication and Universal Quantification	84
1.2.	Types	84
1.3.	Variable Modes	85
1.4.	Predicate Names as Terms	86
1.5.	Negation and If-Then-Else	86

Cambridge University Press

052160754X - Logic Programming: Operational Semantics and Proof Theory

James H. Andrews

Frontmatter

[More information](#)*Contents*

vii

1.6.	Constraints	87
1.7.	Multiple Control Disciplines	88
2.	Practical Program Proving	88
Bibliography		89
A Examples		95
1.	Conventions	95
2.	List Membership Examples	96
2.1.	Computations	96
2.2.	Derivations	96
3.	Infinite Loop	96
3.1.	Derivations	99
4.	Subterm Induction	99
5.	Well-Founded Induction	99
Index of Definitions		103

Abstract

Logic programming systems which use parallel strategies for computing “and” and “or” are theoretically elegant, but systems which use sequential strategies are far more widely used and do not fit well into the traditional theory of logic programming. This thesis presents operational and proof-theoretic characterisations for systems having each of the possible combinations of parallel or sequential “and” and parallel or sequential “or”.

The operational semantics are in the form of an abstract machine. The four control strategies emerge as simple variants of this machine with varying degrees of determinism; some of these variants have equivalent, compositional operational semantics, which are given.

The proof-theoretic characterisations consist of a single central sequent calculus, LKE (similar to Gentzen’s sequent calculus for classical first order logic), and sets of axioms which capture the success or failure of queries in the four control strategies in a highly compositional, logical way. These proof-theoretic characterisations can be seen as logical semantics of the logic programming languages.

The proof systems can also be used in practice to prove more general properties of logic programs, although it is shown that they are unavoidably incomplete for this purpose. One aspect of this incompleteness is that it is not possible to derive all valid sequents having free variables; however, induction rules are given which can help to prove many useful sequents of this kind.

Acknowledgements

Thank you:

To the British Science and Engineering Research Council, Edinburgh University, the Edward Boyle Memorial Trust, and Bell-Northern Research Inc., for their generous financial assistance;

To my advisors, Don Sannella and Stuart Anderson, for many fruitful meetings;

To Inge-Maria Bethke, Ruth Davis, Paul Gilmore, Lars Hallnäs, James Harland, Bob Harper, Gordon Plotkin, David Pym, Peter Schroeder-Heister, and David Walker, for helpful comments and suggestions;

To Paul Voda, for his vision and for setting me on the course of research that led to this thesis;

To my examiners, Alan Smaill and Dov Gabbay, for very helpful corrections and suggestions;

And to Julian Bradfield, Leslie Henderson, Jane Hillston, Craig McDevitt, James McKinna, and the Salisbury Centre Men's Group, for much-appreciated friendship and support.

This thesis is dedicated to the memory of my father, Stewart James Andrews.