COPYRIGHT NOTICE:

Andrew Ford: The Origins of Criticism

is published by Princeton University Press and copyrighted, © 2002, by Princeton University Press. All rights reserved. No part of this book may be reproduced in any form by any electronic or mechanical means (including photocopying, recording, or information storage and retrieval) without permission in writing from the publisher, except for reading and browsing via the World Wide Web. Users are not permitted to mount this file on any network servers.

For COURSE PACK and other PERMISSIONS, refer to entry on previous page. For more information, send e-mail to permissions@pupress.princeton.edu

INTRODUCTION

DEFINING CRITICISM FROM HOMER TO ARISTOTLE

RITICISM as an instinctive reaction to the performance of poetry is as old as song," writes George Kennedy in beginning the Cambridge History of Literary Criticism, and Kenneth Dover reminds readers of the Frogs that "in pre-literate cultures the composition of songs is a process in which discussion and criticism, often passionate, play an important part—and inevitably so, because aesthetic reaction implies preference and preference implies criticism." As the Greeks were surely singing long before our first literary texts appear in the eighth century B.C.E., this means we cannot hope to trace criticism to its beginnings. But such broad perspectives should not lead us to neglect the fact that what Kennedy calls the "instinct" for criticism is always exercised in a social context—that the "aesthetic reaction" of which Dover speaks begins to acquire a history the moment it is uttered before a particular group on a particular occasion. Criticism may have no discernible beginning, but it does have a history, and this book is dedicated to tracing how the tradition of Western talk about stories, songs, and plays was crucially changed in Greece between the end of the sixth and the fourth century B.C.E. In speaking of this development as "the origins of criticism," I mean to highlight the emergence, within the manifold activities that might be called criticism, of a specific set of presuppositions about the nature of poetic language and ways of analyzing it that continues to shape our approaches to literature. Acknowledging that Greek song culture has continuities that reach into prehistory, we may still take notice when early statements about poetry are not assimilable to classical norms and when, and under what circumstances, these norms are first attested.²

One sign of the success of classical criticism is that its cornerstones—its admiration for works that marry style to content, that exhibit harmony, proportion, and appropriate ornament in effecting a special emotional and cognitive response in the audience—may seem to be valid in all peri-

¹ Kennedy 1989: ix. Dover 1993: 33, citing Finnegan 1977: 82-83, 85-86.

² For anthologies of problems in literary history, see Perkins 1991 and Too 1998: Introduction. For me, Too's thesis that criticism is always a discriminatory and repressive social discourse poses historical questions: Why this form of "repression"? Why there and then?

ods.3 Histories of Greek criticism have tended, partly because of the limited evidence available, but partly, too, because of the overwhelming influence of the developed classical paradigm, to emphasize early texts that adumbrate this essentially rhetorical approach to poetry as a verbal artifact. 4 Classicizing criticism's regard for poetic form, after all, held out the promise of a perfect work of art, a formal harmony whose appreciation is independent of time and place, of party or creed. From this vantage point, Homer can stand as the father of Greek criticism (as he can for so much else) when he praises the power and pleasure of song. In his wake, the next proto-critics usually identified are the sixth-century philosophers who were concerned with language, truth, and deception. An evolving "self-consciousness" among poets is often postulated as well, especially in connection with the many references to the power of song found in the high lyric of the later sixth and early fifth centuries.⁵ Around this time, on the prevailing account, Xenophanes' critiques of Homer and Hesiod, the first shot in Plato's "ancient war between poetry and philosophy," provoked defenders of Homer to respond by interpreting his texts allegorically. But a saner and more fruitful response is credited to the fifth-century sophists: their rhetorical and grammatical studies, according to a common interpretation of the sophist Gorgias, made possible a literary

³ The broad continuities in Greek criticism are surveyed topically by Russell 1981, a companion to his collection of critical texts in translation with Winterbottom (1972). Similar in orientation are Ritoók 1989 and Verdenius 1983. I find Trimpi 1983 a too-grand synthesis that tends to swallow up the distinctive features of preclassical criticism.

⁴ Of general accounts of early Greek criticism, the most recent, in Kennedy 1989, unfortunately devotes but 13 of 346 pages to the fifth century. Indispensable surveys of the period from Homer to the classical age are Lanata 1963, Maehler 1963, Harriott 1969, Pfeiffer 1968, and Svenbro 1984a. Good short accounts are Heath 1989: ch. 1 and Halliwell 1986: ch. 1; Finkelberg 1998, though devoted primarily to the notion of fictionality in Homer, offers a chapter (6) on post-Homeric developments. Perceptive overviews are Wimsatt and Brooks 1957 and Grube 1965. The accounts in Sikes 1931 and Atkins 1934 differ little from Saintsbury 1908 or Egger 1886 (first edition 1846). Other important works with a more specific focus include Walsh 1984, on the notion of enchantment; and Goldhill 1986 and 1991, on poetry and social praise. O'Sullivan 1992 is an invaluable compendium of classical critical terminology; Too 1998 tracks the theme of criticism as the repression of "polyphony" throughout ancient criticism; Dupont 1999 is stimulating, but there is a disproportion between the few texts examined and the very large claims. Indo-European antecedents are studied by Durante 1976, Pagliaro 1963, Schmitt 1967, and Nagy 1989 and 1990. Nagy's approach, cross-fertilizing Claude Lévi-Strauss and Milman Parry, is broader than my own, but I have found his anthropological account of "the social function of early Greek poetry" (1989: 1) helpful.

⁵ Russell, most recently in the *OCD* s.v. "literary criticism in antiquity," p. 869. Kennedy 1989: ix: "Literary theory begins to emerge in Archaic Greece in the self-reference of oral bards and early literate poets and as part of the conceptualization of ideas which marked the birth of Greek philosophy." For a wide-ranging collection of passages taken to be self-referring in archaic Greek poetry, see Nünlist 1998.

appreciation for poetry's deceptions and even a theory of tragedy as therapy through art. By the time of Aristophanes' *Frogs* in 405, the art of criticism had arrived, and the main task left to Aristotle was to redeem the art of poetry after Plato's aberrant moral attacks.

A different view of each of these turning points will be given in this book by highlighting the social contexts and institutions within which criticism was practiced. In this way we can move beyond discussion of how far early Greeks anticipated the views of Plato and Aristotle on poetry and recover the broader issues their responses to song addressed. To extract from a narrow sample of earlier literature an implicit evolution toward Platonic-Aristotelian poetics turns history into a too orderly array of disembodied theoretical positions, engaging only with each other, and only on a narrow range of rhetorical concerns. Similarly, the "self" in literary "self-consciousness" is too easily reduced to a song's awareness of its rhetorical elements, neglecting many other aspects that singers were equally eager to express. My history obviously depends on how criticism is defined, and so I begin by defining, with a minimum of justification, what I will count as criticism, as literary culture, and as poetic theory. Defining literary terms is notoriously thorny, but the following definitions can at least claim not to be based on principles developed in the late classical age.

To begin this study, criticism will be any public act of praise or blame upon a performance of song. Focusing on its public character reflects the practice of criticism as carried out in the predominantly oral culture of archaic and early classical Greece; it suggests that we should consider the critic, no less than the poet, a performer before a social group. "Praise" and "blame" are the Greeks' own general terms for what one says in response to song; they remind us that interpretation need not be the primary function of criticism and helpfully separate the history of criticism from the history of aesthetic response. What people felt as opposed to what they said about poetry is not only inaccessible to the historian but should not be accorded a priori the same importance it may have in modern, privatized notions of aesthetic experience. The related question of how far singers and storytellers themselves should be regarded as practicing a form of criticism in their works seems to me a legitimate and rewarding inquiry, since it is impossible to retell even the most traditional tale without strategic selection and emphasis. But a space must still be left for what I call "critical scenes," social occasions in which one person offered a musical performance and another the judgment upon it. I thus distinguish the artist from the critic not on the basis of a problematic

⁶ Cf. Detienne 1981: ch. 5, esp. 131–32 on Lévi-Strauss' insistence on the ineliminable element of interpretation in retelling myth, even for participants themselves.

Romantic distinction between "creativity" and "analysis," but as distinct social roles (even if the same person may play both in turn, and even if the criticism takes the form of a new song). I call the object of criticism "song" as did the early Greeks (aoidē, humnos, melos, etc.): some limitation is needed, since proposals at an assembly or speeches in court were also performances calling for public praise and blame, but with different criteria from those applied to songs and with different consequences. To speak of "song" when the Greek texts do also signals the important fact that this category was significantly reconceived during the fifth century, when the words for "poetry," "poem," and "poet" (poiēsis, poiēma, poiētēs) rose to prominence. Finally, it is necessary to think of "performances" rather than "texts" as the objects of criticism, since Greek poetry did not become an affair of private reading until late in the fifth century (and even then only for a small minority of the population).⁷

Criticism thus defined takes place within a larger set of practices that I call literary or "musical" culture. Although neither the word nor the notion of "literature" is ancient, "literary culture" is our closest equivalent to what the Greeks called *mousike*, a term more broad than "music" that included all the arts associated with the Muses, singing and dancing as well as music in its narrow sense. This term is needed to locate criticism within the many ways that songs were present in society—all the places where they were performed and reperformed, quoted after dinner or carried in the head, parodied or written down, on temple walls or on tombstones or scraps of papyrus. Needless to say, I cannot hope to give anything approaching a full description of Greek literary culture in this period, but I have been influenced by recent work on modern criticism that highlights the wider social arrangements within which it emerged.8 Setting criticism within "musical" culture will help us observe that something like the eighteenth-century notion of literature was formulated in the fourth century B.C.E., when that part of musical culture that was song was examined in isolation from the rest: once the further step was taken of separating the words of songs from the music and actions they had accompanied, the particular effects of poetic language could be studied in a form of criticism one may call "literary" insofar as it was specific to the poetic art.

Finally, I use the phrase "poetic theory" quite narrowly to refer to selfconscious attempts to give systematic accounts of the nature of poetry in

⁷ Cf. Herington 1985: ch. 1, and Kannicht 1988, on the "song culture" of early Greece.

⁸ I may cite particularly Eagleton 1983: ch. 1 and 1984, as well as Graff 1987, both building on Palmer 1965. I have found Bourdieu's (1967, 1984, 1990, 1993) analyses of literary culture as a form of "social capital" enlightening, and Guillory 1993 a stimulating and penetrating analysis of the institutional tensions in current academic criticism. Cf. now Gallagher and Greenblatt 2000.

the most scientific terms available. This is what the Greek word "poetics" (hē poiētikē tekhnē, "the art of poetry") means, and it is a main contention of this study that Aristotle's work of that title embodied a new conception of the task of criticism and not simply the inexorable working out of tendencies that can be traced back to Homer. In putting the rise of poetic or literary theory so late, I do not forget that any response to a work of art (Homer's no less than my own) may be said to imply a theory, and it would be naive to think of the rise of poetics as a fall from a primitive, unmediated enjoyment of song into self-conscious analysis. But to generalize from any statement about song the total theory it may imply short-circuits the historical study of criticism by identifying criticism with theorizing. My view tends in the opposite direction and holds that theory's insistence that everything be viewed under its ken was itself just one strategic move within a widely varied set of ways to respond to song. Once we regard theorization as a social activity, we will be better able to understand how the self-conscious and formal theorization of poetry triumphed at a particular time and place within the traditional song-culture of Greece.

My aim in attending to social contexts is not to reduce all criticism to bids for power or prestige, but to make more of its history visible and comprehensible, including early critical responses that may seem foolish from a classical perspective. Donald Russell forewarns readers of his insightful *Criticism in Antiquity* that they may be "bewildered, disconcerted, perhaps disappointed" by the ancients' judgments about their own literature, which often appear "inadequate and unsatisfactory if we compare them to our own responses to the same texts." We have a better chance of understanding such judgments on their own terms if we consider where they were proposed and what extra-rhetorical ideas might have made them important to their audiences. To illustrate my terms and approach, I take a speech from the first book of the *Odyssey* that has been called "the earliest literary criticism in Greek literature." ¹⁰

Critical Scenes: Telemachus

The scene is the dining hall of Odysseus' palace, where Penelope's suitors sit over their wine while Phemius, a professional singer (aoidos), entertains them with a rendition of "The Disastrous Return of the Achaeans

⁹ Russell 1981: 1. Harold Bloom speaks more vividly of the "dumbfoundering abyss" that separates ancient and modern aesthetics: *The Art of the Critic*, vol. 1 (New York, 1985), vii–x.

¹⁰ S. West on Od. 1.346 ff., also the source of the quotation in the following paragraph.

from Troy" (1.326–27, 339–40). Penelope appears with her maids at the threshold and bids the singer to switch to some other theme because his present song is painful to one whose husband has yet to return (1.328–44). At this point Telemachus intervenes with a speech that can be said to counter Penelope's blame with praise: reproving his mother, he tells her that if anyone is to blame for the fates men receive, it is Zeus, not singers. Phemius has only been performing the latest song, which is what everyone likes to hear; Penelope should therefore steel her heart and go back to weaving with her maids. That is her place and her task (*ergon*), he concludes:

But making speeches (*muthos*) is an affair for men, one that concerns all the men here, and me especially, for mine is the authority (*kratos*) in this house.¹¹ (1.358–59)

This exchange includes several suggestive statements about the nature of poetry, as Stephanie West remarks when she says that Telemachus is "the poet's spokesman in his plea for artistic freedom and his emphasis on the importance of novelty." One could go much further and suggest, for example, that the contrasting responses of Penelope and the suitors to the same song dramatizes the aesthetic paradox that artistic representations of painful events can give pleasure. But before converting Homer into the father of Aristotle, it is useful to put the speech in context, since it would be a reductive account of Telemachus' criticism that did not note that the most basic issue at stake in Book 1 is who shall call the tune. As Telemachus' words make clear, speaking up about poetry at a feast is a way of claiming a social role and asserting authority (kratos) over others. Up to this point, Telemachus has been hesitant and ineffectual before the suitors, but now he seizes his role as prince by taking command of the singer who had been performing for the suitors "under duress" (1.154; cf. 22.331).¹² The singer is answerable to the head of the house, and Telemachus has implicitly taken up this role, which he will give back to the true lord of Ithaca when he returns and summons the bard to a life-anddeath critical appraisal (22.330–77).

¹¹ All translations are my own, except where indicated.

¹² See Goldhill 1991: 60–61. Svenbro (1984a: ch. 1) illuminates the "social control" over song in Homer, but puts too much stress (esp. 44) on singers' being forced to articulate the values of the dominant group (which he applies to the idealized Demodocus in happy Phaeacia no less than to Phemius in strife-torn Ithaca). If we accept Svenbro's conjecture (36–37, 50) that Phemius was singing the death of Odysseus to please the suitors, it becomes quite odd (to all but the most reflexive Freudian) that Telemachus does not change the song. Social control over the singer was doubtless real, but could be hedged in, e.g., by the notion that the singer was sacrosanct or by Telemachus' idea that any blame for painful events they recount is to fall not on them but on Zeus.

In addition, to become a man among men, Telemachus asserts himself as a man over women. His peremptory dismissal of his mother from speaking in this context is given the accents of male heroism: "This is an affair for men" is what a warrior says in setting off to battle. 13 Publicly pronouncing on song will remain a male prerogative from the time Penelope retires with her maids through the fourth century, when, in Plato's version of an ideal dinner party, a gentleman dismisses the flute girl "to go play to herself or among the women inside" (Symp. 176E). During the centuries this book traces, women practiced a musical culture of their own in places now mostly hidden from the historian. As ladies and their maids worked over looms and as peasant women worked in fields or at washing places, they sang and talked of the songs they had learned from each other and from the poets who composed for women's choruses. What Circe sang at her loom is not beyond all conjecture, but it was public, civic, and male discourses that issued in formal literary criticism. 14

Before leaving this scene, it is worth considering its place within Telemachus' coming-of-age story that opens the Odyssey. His speech, which amazes his mother (1.360), is but the first of a number of bold actions undertaken by the newly confident young man: it is immediately followed by his "high speaking and bold address" (1.385) to the suitors, and the next day he takes it upon himself to summon the Ithacans to assembly and air his grievances. Book 1 traces these developments to the arrival of the family's patron goddess Athena. Taking human form as an old family friend aptly named Mentes ("mentor"), Athena tutors the courteous but disconsolate young prince by taking him aside and "inspiring" (1.320-22) him: Mentes chides the boy (1.252), gives him fatherly advice about his rights and duties (1.308), and exhorts him in a tone similar to that of Greek gnomic poetry.¹⁵ Upon Athena's departure, Telemachus, now described as wise and prudent (1.345, cf. 306), takes control of the situation by speaking up at the feast. It may be inferred that his attentive sitting at table beside a good man, which was the standard archaic setting for a nobleman's musical education, has played a part in preparing him to take an active role as speaker in his house and in the city.

Homer shows pronouncing about poetry as part of a male citizen's repertoire of public performances, and he suggests that it was something

¹³ With *Od.* 1.358–59, cf. *Iliad* 6.492–93, *Od.* 11.352–53 (and 21.352–53, where Telemachus reprises the verse but speaking of weapons). S. West on *od.* 1.356–59 finds Telemachus rude to his mother, noting that Helen (4.121 ff.) and Arete (7.141 ff.) take part in afterdinner conversation. But these queens do not presume to call the tune.

¹⁴ An excellent recent study is Stehle 1997, with generous bibliography.

¹⁵ Note esp. the gnomological phrases: "Be sensible and take my words to heart" (1.271, cf. 305); "I will propose (*hupothēsomai*) wise counsels to you if you will only listen" (1.279); Mentes' values are those of a "sound and trusty" man (*pinutos*, 1.228–29).

they learned from well-disposed elders and kin. As the roles open to citizens and singers will change in the coming centuries, new mentors and new views of song will also appear. In the following chapters, I trace these changes through a succession of critical scenes in which song is praised or blamed. Reading these scenes with attention to their social and cultural backgrounds reveals not a progressive series of "discoveries" in which the philosophical and rhetorical nature of poetry comes to light, but instead a fundamental and broad shift from early responses to singing as a form of behavior regulated by social, political, and religious values to a conception of poetry as a verbal artifact, an arrangement of language subject to grammatical analysis, formal classification, and technical evaluation. This shift was completed in the fourth century, and the *Poetics* is its most conspicuous monument.

This opposition between early "functional" criticism and later concern with "inner" form develops perspectives from some recent histories of Greek literature, such as Bruno Gentili's Poetry and Its Public in Ancient Greece (1988), that valuably stress the embeddedness of archaic song in performative context. 16 The focus on song as an exchange between performer and audience rather than text and reader owes much in turn to Eric Havelock, who argued that literacy was quite restricted in Athens until late in the fifth century, when a "literate revolution" began to transform a musical culture centered on oral performance to one increasingly confronted with written texts. ¹⁷ Havelock's *Preface to Plato* (1963) is also a history of criticism, holding that this cultural upheaval is the subtext of Plato's notorious rejection of (orally performed) poetry in his (written) Republic. Some of Havelock's claims for the intellectual powers unleashed by alphabetic writing were over-broad and took too little account of the fact that the significance of any writing system will depend on the uses to which it is put in particular contexts. 18 There is, furthermore, de-

¹⁶ See Gentili 1988: 36–37; Gentili and Cerri 1988: 97–102; and the history of Greek literature by Cambiano, Canfora, and Lanza (1992). Käppel 1992: 19–21, 33–43 gives a similar outline derived from the *Rezeptionsaesthetik* of H. R. Jauss (discussed in Käppel's theoretical introduction, 3–31), but see the review of D'Alessio in *Classical Review*, n.s. 44 (1994): 63, and D'Alessio 1997; and Schröder (1999: 101–9), who stresses the implicit formalism of cult practice. The works of Rösler have also made important contributions on these lines. By contrast, Cairns (1972: esp. 34–36, 70) shows how genres and "set pieces" were *made out of* early Greek poetic texts, but not that classical literary "genres" can be assumed to operate in Homer and early lyric, Cf. Russell and Wilson 1981: 31–35.

¹⁷ Recent treatments of early Greek writing include Woodard 1997, Powell 1991, and, on Semitic letter forms, Burkert 1992: 25–30.

¹⁸ Bowman and Woolf 1994, Thomas 1992. A recent critique of the more extreme claims of Havelock and of Goody and Watt (1968) is Nails 1995: 179–91. For balanced discussions of the influence of writing on intellectual activity, though without making it a sole cause, see Lloyd 1987: 70–78, 1979: 239–40; and Finley 1975. It is surprising that so subtle a

bate about how early writing was introduced into Greece and how rapidly and widely it spread. But any attempt to situate criticism in its contexts must consider that notions about the composition and transmission of song will at least reflect and may in part be determined by modes of performance and technologies of communication.¹⁹ The following chapters will trace the role of written texts in the rise of approaches to songs as stable, structured objects rather than as time-bound performances tied to communal contexts. I hope to show why, for criticism to take the form it did in the fourth century, orally performed songs had to become "poems," texts rather than events, and "singing" became "poetry," rule-governed composition rather than an activity within the communal and cultic life of the city. Only when singers became "poets," craftsmen of words rather than performers, could a properly "poetic" literary criticism emerge as the special knowledge that discerns the excellence of poetry so understood.

Gentili says that the early, functional criteria became "increasingly irrelevant" in the fourth century and were replaced by "internal, rhetorical ones." We shall see that this is too hasty, for the older criteria were flexible enough to continue to be invoked throughout antiquity. But Gentili is right that, for criticism to become "literary"—to become a properly technical approach based not on poetry's social and moral uses but on its constitutive linguistic and musical media—analysis could no longer be based on the varied and shifting demands of local occasions of performance. Criticism became technical by basing itself instead on a system that prescribed the correct aim (*telos* in the sense of function, rather than occasion) of each type of song, and this—not occasion or other context-derived obligations—in turn determined the correct form of any song.

A consequence of this development is that the most basic difference between archaic musical culture and classical literary criticism is centered on notions of genre. To highlight the change between my historical endpoints, and also to illustrate my method for reading preclassical criticism, I devote the rest of this introduction to considering how "genres" or kinds of singing were defined in the archaic age. This involves collecting archaic texts in which specific kinds of song are identified and interpreting them

historian as Oswyn Murray (1980: 96–97) can speak of archaic Greece as literate in our terms: see Anderson 1989.

¹⁹ I differ with Svenbro's (1984a) Marxian emphasis on the "means of production" of poetry and the progressive "alienation" of the poet from his "product" (*poiema*), but remain indebted to his pioneering approach. In this connection, the work of Detienne is also valuable, especially his (1967) survey of the many social forms of the archaic "master of truth." Havelock also influences Cole's (1991) convincing and important revisionist account of early rhetorical study.

²⁰ Gentili 1988: 169, underestimating the strength, on current accounts, of the restored democracy.

with Plato and Aristotle on a short leash. To bring out the contrast with classical analysis, I conclude with some texts on the same theme from the fourth century.

Archaic Genres

A basic reason that it is misguided to seek specifically literary criticism in the archaic age is that there was, as far as the evidence permits us to see, no unitary notion of poetry or literature. The many forms of song that were sung on various occasions were not referred to as instances of a single art or activity called "poetry" (poiēsis), or even "song." Instead, there were many different names for songs, most of them derived from the social contexts in which they were performed. What archaic Greece lacked, and what was not developed until the fourth century, was a literary system, a conceptual unification of songs as distinctive forms of speech to be understood in their formal relations to each other. Of course, long before Homer, Greek audiences had developed expectations about what kind of song was appropriate at what kind of occasion, and Greek singers created new songs in the knowledge that they would be praised or blamed accordingly. From this collaboration, distinct "genres" of song can be said to have been defined, if we bear in mind Dover's very important remark that in the archaic period, different genres amount to different occasions of performance.²¹ Archaic song was made, received, and assessed in relation to its context rather than its conformity to some formal paradigm.

Accordingly, the oldest Greek song names usually express an aspect of the occasion: some are simply terms for social actions, such as the "lament" (*thrēnos*) for funerals or the *iambos* for occasions of ritualized "abuse."²² Others are derived metonymically from the context, such as the "paean" and "dithyramb," which evolved from ritual refrains into names for kinds of song. The generic meaning of paean as "a song of praise or joy" derives from earlier, more context-based senses—a song for Apollo in his aspect as saving god, and behind this, it appears, a song invoking *Paiawōn*, a pre-Greek healing divinity.²³ Similarly, the songs called dithyrambs were properly connected with the cult of Dionysus

²¹ Dover 1964: 189, with the pioneering work of Harvey 1955, on which see also Russell 1981: 148–58; Rosenmeyer 1985; Käppel 1992: 1–7; and Calame 1998: 102–4 and 1974, which critiques Rossi's (1971) "unwritten laws of genre" in the archaic period.

²² For song types in Homer, see Diehl 1940; and cf. Ford 1997b: 400–401. Fowler 1987: 89–100 is a good survey of archaic kinds of song.

²³ See Burkert 1985: 43, 145; Heubeck on *Od.* 4.231 ff. On the etymology of *paian* in the fifth century, see Barrett (1964) on Euripides *Hippolytus* 1371–73.

dithurambos, an ancient epithet of the god that became as opaque to the Greeks as it is to us. This way of naming kinds of song persisted through the archaic period, yielding at its end such new names as "tragedy" (tragoidia, "goat-song," probably to be associated with a processional song leading a goat to sacrifice) and "comedy" (kōmōidia taking its name from kōmos, a kind of village revel-song).

A number of these old names were preserved through the classical age and entered Hellenistic scholarship as genre terms, whence the vocabulary of modern literary studies includes such a term as "goat-song." In this process, the archaic contextual meanings were typically replaced by rhetorical ones that defined song types according to content and form. The paean affords an example of this reduction. For the Hellenistic critic, the paean could be defined formally as a choral song and thematically as devoted to Apollo (or his sister Artemis). In this way, formal distinctions between choral, solo, and antiphonal singing overwrote earlier social conceptions about how performing roles should be distributed at a given occasion. In a similar way, scholarly conventions regarding which rhythms, melodies, and language—the key discriminants in formalist definitions of genre—suited songs of a particular kind depended originally on the actions (such as dancing, processing, or pantomime) that the song accompanied and on the effects it was hoped to have on the audience and the gods. What archaic paeans seem especially to have in common is that they are group songs to a god that reinforced the solidarity of the men participating in them.²⁴ To sing a paean was in the simplest terms to shout iē paiōn in unison. In early Greek texts, soldiers sing paeans as pleas for deliverance from some evil or threat (*Iliad*. 1.472–73), but also in triumph (22.391-94) and at a feast (Homeric Hymn to Apollo 517-18). Thus Hellenistic scholars had to include among paeans songs that invoked gods other than Apollo as well as songs that did invoke him but without the refrain.²⁵ The whole class was furthermore hard to distinguish from the broader category of group processional songs (prosōidia).²⁶

Beginning in the fifth century, rhetorical criticism created new abstract "genres" that answered less to archaic practice than to the needs of formal

²⁴ Euripides represents girls singing paeans (e.g., *Hercules Furens* 689, on Delos), but normally women would at most add a ritual *ololugē* to the men's paean: Calame 1977, 1:78, 147ff.

²⁵ Käppel 1992: 65-70.

²⁶ Färber 1936: part 1, p. 32, and Ian Rutherford *ZPE 96* (1992): 68, on the difficulties of distinguishing paeans from *prosōdia*; for paeans to other deities, Smyth 1906: xxxviii n. 1; and now D'Alessio 1997. On ancient debates on whether the refrain is obligatory, [Plut.] *De musica 9*–10; Athenaeus 696b–c, with Harvey 1955: 172–73, on the divergence of "literary" and "ritual" paean. Cf. Schröder (1999: 49–61), who goes some way toward vindicating Hellenistic scholars from characterizations as pure formalists: 110–26.

classification. Greek *humnos*, for example, at root meant simply "song": in the archaic and early classical period, the noun and verb have no particular connotation of "hymn" in the sense "song for a god."²⁷ The archaic vocabulary shows many names for songs to individual divinities, but no particular term for the class as a whole. Their various hymns were united in the yearly cycle of festivals, not in a library's pigeonholes. But the scholars, developing, as will be seen below, an idea of Plato's, used "hymn" as a genre term (based on "content") to embrace all songs to divinities. This was immensely useful in sorting the texts of archaic songs into classes.

Formalistic definitions downplay historical change and social nuance for gains in objective descriptiveness and classificatory power. But the needs of a literary taxonomist had little in common with the archaic culture that produced the songs. When we find statements in archaic Greek poetry about what is good or bad in singing, the predominant concern is whether the song is "appropriate" (prepei) to its context and occasion. There is no *literary* criticism in the archaic period because "the appropriate" and its congeners (to prepon, metron, kairos) always involved social and religious values. This is not to say that formal and aesthetic qualities were ignored: the gods were said to "take pleasure" in festival singing and dancing, and so the ritually or socially "right" way to perform a song had to look and sound right, too. Appropriateness to the occasion included qualities we could call aesthetic, but always as elements within a larger conception of the function of song: one of our oldest preserved choral songs, composed for a festival of Artemis in seventh-century Sparta, draws the audience's attention to the beauty of the dancers, their fine voices and nimble feet; but this comes after they have recounted a myth showing that gods avenge acts of hubris.²⁸

This outline of the social nature of archaic genres can be tested by collecting passages of Greek lyric from the period 650 to 450 that mention distinct kinds of song and suggest why one kind is used on a given occasion and another is not. Reading such texts without the rhetorical prejudice of backward-looking intellectual history confirms the importance of

²⁷ See Calame 1995: esp. 2–4, with notes 4–6; and Càssola 1975: ix–xii, who gives as its fundamental meaning, "connessione, serie (di versi)." Examples of its broad use are, e.g., Od. 8.429 (of Demodocus' heroic songs, cf. Hesiod *Theogony* 99–101), Hesiod *Works and Days* 662 (of his own song), pseudo-Hesiod fr. 357 M-W (of Hesiod and Homer), *Homeric to Apollo* 161 (of a choral lyric, cf. Alcman 27 *PMG*, Sappho 44.34 V), Xenophanes 1.13–16 *IEG* (of sympotic song, cf. Anacreon 356b 5 *PMG*, Euripides *Medea* 192). The etymology of ὕμνος has long been debated; many associate it with roots meaning "to weave" or "to join," but the most recent analysis by Vine (1999: 575–76) is "sounding," connected with Latin *sonare*.

²⁸ Alcman 1 *PMG*. See Barker 1995: 262. On the gods' pleasure in song and festivity, cf., e.g., Homer *Iliad* 1.601–4, *Homeric Hymn to Apollo* 146–50.

the tendencies described above and the insignificance of "literary" approaches to song in the archaic period. Comparing archaic and classical instances of musical "decorum" can then make clear how "appropriateness" was redefined from describing a song's social and religious "propriety" to prescribing the "proper and fitting" relation between the formal and thematic elements within a text.

Archaic Appropriateness

The earliest example of the verb *prepei* ("it is fitting") applied to a song is from Alcman in the late seventh century: "At the banquets and feasts of the public messes it is fitting to strike up a paean among the diners" (98 PMG: θοίναις δὲ καὶ ἐν θιάσοισιν / ἀνδρείων παρὰ δαιτυμόνεσσι πρέπει παιᾶνα κατάρχην).²⁹ At the Spartan feasts for which Alcman composed, it was pious to acknowledge Apollo's festive aspect, and the men would at the same time form themselves into a group, even if simply by responding with the refrain. Here *prepei* joins what is religiously correct, customary in context, and conducive to the desired mood of the occasion; the paean "befits" the feast in the way that a grace may be "fitting" before meals.³⁰ This combination of ritual and social decorum is still in force in the late fifth century, when the chorus of Frogs calls for "songs, dance, and revels that befit this festival" (370–71: μολπὴν / καὶ παννυχίδας αἳ τῆδε πρέπουσιν ἑορτῆ). The festival in question is the feast of Dionysus, where the drama was staged, and the "befitting" genre is comedy itself, a customary way to honor this god.

A line from Sappho illustrates archaic genre-definition by speaking of a kind of song that is *not* fitting to sing. If around 600 B.C.E. one of the musically skilled women of Lesbos had asked the poet why she sang the kinds of song she did and not, for example, dirges (which traditionally accorded women a prominent role), Sappho could have replied in the words of one of her songs: "It is not right that there should be a dirge in the house of the Muses' ministers; this would not befit us" (οὐ γὰρ θέμις ἐν μοισοπόλων ⟨δόμωι⟩ / θρῆνον ἔμμεν' (. . .) οὕκ' ἄμμι πρέποι τάδε: 150 V). We need not credit the ancient biographical critic who took these words as Sappho's deathbed consolation to her daughter, but neither was she engaged in literary theory; as in Alcman, this comment functioned in its performative context as a speech act that simultaneously declared and enacted the "rightness" of the song. Nevertheless, the way the singer uses

²⁹ See Chantraine s.v. *prepon*; cf. Fraenkel on *Ag.* 242, and bibliography at Fowler 1987: 128 n. 17.

³⁰ Cf. Fraenkel on Ag. 245 ff.; and Aristophanes Thesmophoriazousae 310, Peace 453.

dirges as a foil to her own offering is revealing. Sappho says that laments are not themis—not customary, lawful, or even natural—for her group, which she represents as "ministers" or "temple attendants" of the Muses. 31 Although we cannot precisely reconstruct the nature of Sappho's group, its members clearly had a special status that derived from their closeness to the Muses, a status that was made concrete in their association with a special "house" or perhaps "temple" in the city. 32 Dirges were "unfitting" (ou prepei) for this group in the sense that they were not what the group performed when appearing (prepei in its root sense) in their customary social and religious contexts. This "generic" scruple expressed a social, religiously sanctioned bond among Sappho and her "companions" (160 V). In return for honoring them with "fitting" songs, the Muses made Sappho "blessed and enviable" (193 V), and perhaps even "honored" (τιμίαν, 32 V). Correspondingly, to be outside the group was to be banished from their songs, ceremonies, and distinctive ways, like the woman who "will have no share in the roses of Pieria when you descend to the house of Hades" (55.2-3 V).

The social basis of this generic distinction is clear, but Sappho's Muses also show that the social was bound up with the religious. An archaic musical "law" (Sappho's themis) of genre could be rooted in the association of different deities with different forms of cult, as comic drama "fitted" the cult of Dionysus. The idea is explicit in an early lyric by Stesichorus, whose (probably male) chorus also rejected dirges: "Sportive song and dance are dear to Apollo, while lamentations and groans are the lot of Hades" (παιγμοσύνας (τε) φιλεί μολπάς τ' 'Απόλλων, / κήδεα δὲ στο ναχάς τ' 'Αίδας ἔλαχε).³³ Once again, the rejection of one kind of song is part of the song: Apollo will be pleased today by our singing in the ways that have pleased him before. But Stesichorus gives us a suggestion of why Apollo and Hades demand different songs: his use of the word "allotment" evokes the mythic division among Hades and his brothers of distinct spheres of influence (as in *Iliad*. 15.191) and suggests that different kinds of song and music were assigned to each god by the same sort of inscrutable but absolutely binding originary decision that fixed their other prerogatives.

³¹ For bibliography on *themis*, see Heubeck on *Od.* 2.68; Snell 1953: 75 on Hesiod *Theogony* 886 ff.; and Pindar fr. 30 S-M. On *prepei* in 150 V, cf. Maehler 1963: 59, 93.

³² Voigt prints Hartung's *domõi* for the unmetrical *oikia*: see references in B. MacLachlan in Gerber 1997: 160–61. On the nature of Sappho's *thiasos*, Calame 1977, 1:367–72 remains essential, and good recent accounts include Gentili 1988: chs. 6, 13; Seaford 1994: 257–62; Lardinois 1996; Stehle 1997: ch. 6. Morris (1996) notes that Sappho's house is also a cult of the East, the Olympian gods, and "elite" ideals of nobility and beauty.

³³ Stesichorus 232.2–3PMG. Cf. Aeschylus 161 TGrF (μόνος θεῶν γὰρ Θάνατος οὐ δώρων ἐρῷ οὕδε παιωνίζεται) and Euripides *Iphigeneia in Tauris* 181–85 ("Αιδας δίχα παιάνων). Inversions confirm the topos: Aeschylus fr. 255 TGrF, Euripides Suppliants 971–74.

For both Sappho and Stesichorus, the rules governing singing are indissociable from scruples about correct religious speech; to violate propriety is thus a far graver matter than mere artlessness or inelegance. This is to be expected when all musical occasions take place under the auspices of one divinity or another, making it hard to draw a sharp line between cult song and poetry among early Greek lyrics. But the dependence of archaic musical values on religious ideas could go much deeper. Ultimately, the musical activities of social groups could be defined not only by the predilections of the gods they honored, but also by the fact of human mortality in itself. The ethical and religious notions underwriting archaic generic distinctions are clear in a lyric fragment by Pindar from the fifth century (128c S-M). This poorly preserved text of what appears to be a dirge begins by listing a series of song types, first setting off paeans from dithyrambs:

There are songs for the children of Leto of the golden distaff, paeans in due season, and there are other [songs] . . . from the garlands of flourishing ivy that long for (?) . . . of Dionysus.

This text is used by Lutz Käppel in his valuable study of paeans to exemplify the pre-Alexandrian classification of genre by *Sitz im Leben*: paeans are songs that are "in season" (ὅριαι) at festivals for Apollo and Artemis, while Dionysus required dithyrambs (not named explicitly but clearly denoted by the metonymic reference to the ivy wreaths worn in his cult).³⁴ Käppel stops his analysis here, but Pindar immediately goes on to list a number of other songs:

But [other songs] put to sleep three sons of Calliope, so that memorials of the dead might be set up for her: one sang "alas Linus" (*ailinon*) for fair-haired Linus, another sang for Humenaios, whom the final song took when he first touched the skin of marriage, another was for Ialemos when his strength was stopped by wasting disease.

But the son of Oeagrus, Orpheus of the golden sword [fragment breaks off].³⁵

³⁴ Käppel 1992: 34–36. Pindar *Paean* 3.14 (cf. fr. 52c S-M) also speaks of the "seasonableness" (ἄριον ποτὶ χρόνον) of song. Further discussion with references in Calame 1998: 101–4, and commentary by Maria Cannata Fera, ed., *Threnorum fragmenta: Pindarus* (Rome, 1990), fr. 56.

³⁵ The text is too uncertain to be worth printing without an extensive *apparatus criticus*. For convenience, I follow the text of fr. 128c by Snell-Maehler (1989), which I translate following Race 1997, 2:360–63; for a different reconstruction by Bowra (fr. 126), see translation and comments by Barker (1984: 61).

This series of archetypal laments for mortal children of the Muse constitutes a contrasting set, on the other side of a profound "generic" divide, from songs for deathless gods. Human laments have specific, even tragic moments of origin, while the paean and dithyramb recur in due season at moments of "flourishing." The origins that Pindar imagines for laments have both a mythological and an anthropological character. He implies that the proper names of dying youths, repeated by their mothers in grief, eventually came to be repeated by others until they became refrains marking genres of lamentation—lasting "memorials" to the figures named. Such a story allows the singer to recognize the universality and antiquity of lament songs while yet connecting his present offering with the origins of the genre, and with divine sadness at human mortality. Beneath the anthropological recognition of a kinship among all laments, the basic generic distinction is between the gods with their endless songs and mortals with their thrēnoi. The issue was doubtless further explored at the end of the fragment with the mention of Orpheus, who used music to cross this fundamental divide in his quest for Eurydice.

This text bespeaks an age of anthropological interest in the varieties of song types and points to new principles for synthesizing song traditions. This approach, whose implications will be studied in chapter 6 below, was developed in the fifth century without displacing older ways of thinking of song. One final example of a song about genre from the fifth century shows the persistence of religious notions even as various song-types were being collocated in formal and functional classes. It was composed by Bacchylides and is a "victory song" (epinikion), a genre whose social function has been well epitomized by Elroy Bundy as "the glorification, within the considerations of ethical, religious, social and literary propriety of [the] victor" at the Greek athletic games.³⁶ Bacchylides begins with conventional piety, warning that the happy winner is not thereby exempt from the vicissitudes of fate; the speaker then declares that the best thing for a mortal is to be lucky in the fortunes god sends, since sheer luck can make a nobleman base, and vice versa (14.1–6).³⁷ From this he draws the moral that the single best path to excellence is to preserve a sense of the appropriate in the shifting situations of human life. This precious sense has a name that was to have an important role in classical aesthetics, the kairos (14.8–18 S-M):

```
μυρίαι δ' ἀνδρῶν ἀρεταί, μία δ' ἐκ
πασᾶν πρόκειται,
ὃς τὰ πὰρ χειρὸς κυβέρνα-
σεν δικαίαισι φρένεσσιν.
```

¹⁰

³⁶ Bundy 1986: 91.

³⁷ For the topos, ibid.: 15. Cf. Bacchylides 10.45–47 (followed by remarks at 49–50 on money upsetting social distinctions), Solon 13.65–66 *IEG*.

οὕτ' ἐν βαρυπενθέσιν ἀρμόζει μάχαις φόρμιγγος ὀμφὰ καὶ λιγυκλαγγεῖς χοροί, οὕτ' ἐν θαλίαις καναχά χαλκόκτυπος ἀλλ' ἐφ' ἐκάστωι καιρὸς ἀνδρῶν ἔργματι κάλλιστος εὖ ἔρδοντα δὲ καὶ θεός ὀρθοῦ.

15

Myriad are the forms of excellence for men, but one lies before all others—that of the man who steers the thing at hand with justice in mind.

The voice of the lyre does not harmonize with grief-heavy battles, nor do clear-calling choruses;
nor in banquets is the clash struck from bronze [harmonious]; but on every work of men *kairos* is most fair. The one who succeeds is also raised up by god.

Kairos governs genres: choral odes do not "fit" or "harmonize" (harmozei) with the battlefield, just as war trumpets sound "out of tune" at festivals. But *kairos* is a universal power making any act or creation "most fair" (kallistos). For the poet, kairos is fundamentally a religious concept based on the idea that there are limits that mortals, qua mortals, must observe: no matter what the field of endeavor, the correct pursuit of excellence is mindful of the disposing power of the divine and keeps to things within human reach.³⁸ Piety rather than aesthetics or poetics enjoins observing the *kairos*, and enjoins it on all; hence the man who would "steer" (10) the ship of state may be reminded how much more desirable is peace than war. Kairos of course governs the poet's present singing as well; its most profound demands are not met simply by executing the formal expectations of epinician, but by including, in the context of exaltation, a reminder that success rests in god's hand. Bacchylides fulfills the "obligations of the moment" not as a matter of literary propriety or of rhetorical tact, but of speaking justly and appropriately as one mere mortal to another.

Classical Genres

I have noted that the classical period brought new perspectives on genres of song, such as the anthropology discernible behind Pindar's myth of threnodic origins or Bacchylides' use of conventional musical distinctions

 $^{^{38}}$ Cf. Theognis 401–2: "Pursue nothing to excess, for kairos is best in all human work" (μηδὲν ἄγαν σπεύδειν. καιρὸς δ' ἐπὶ πᾶσιν ἄριστος / ἔργμασιν ἀνθρώπων). Ascribed to the Sage Chilon by Critias (7 IEG).

to illustrate the workings of *kairos*. At the time of their greatest success, sophists and other philosophers and teachers of eloquence increasingly focused attention on the formal, measurable properties of speech. The effect these new studies had on approaches to poetry is observable in the mid-fifth century, when we find attested for the first time names for types of poetry based on formal considerations, such as "iambic" and "elegiac" for songs in those kinds of meter. No archaic name for song is metrically based. The process went in the opposite direction: the archaic poetry of "abuse," *iambos*, generated the name "iambic" for its characteristic meter; similarly, the "elegiac" couplet seems to have been named because it was by that time prominent in the traditional family of songs long known as *elegoi*, "laments." ³⁹

Among the technical terms generated by these new studies was "meter" itself, a secondary meaning given to another important archaic word for appropriateness. The word metron, "measure," had an early ethical sense, "due measure": Hesiod preached observing "due measure" (metra) and "right degree" (kairos) in all things, even loading a wagon (Works and Days 694). Praise of the metron as mean underlies Solon's use of the word to describe the professional singer who "knows the measure of desirable wisdom because of the generous teaching of the Olympian Muses" (ἄλλος 'Ολυμπιάδων Μουσέων πάρα δῶρα διδαχθείς / ἱμερτῆς σοφίης μέτρον ἐπιστάμενος, 13.51-52 IEG). Here the word does not refer to a knowledge of metrics but to the singer's expert capacity of arousing pleasurable desire in the right way and to the right degree. 40 In context, Solon is not referring to his own elegiacs but is cataloging professions in the city and so estimates the worth of singers from a social and political perspective that values moderation. He allows singers their traditional claim to the Muses' "teaching," but expertise in singing is a gift bestowed unpredictably by divine condescension, hardly technical lessons in scansion. It is first in the fifth century that the word *metron* exhibits its formal meaning, the "measuring" of language that is meter. The novelty of such studies is indicated by a scene of higher education in Aristophanes' Clouds (first performed in 423), where understanding such matters as "dactyls" and "meters" (metra, 638) is beyond the ken of a yokel (655) who naturally takes *metra* as referring to bushels and pecks.⁴¹

³⁹ West 1974: chs. 1 and 2, and Steinruck 2000; the semantic history of *elegos* remains difficult: see Bowie 1986, Lambin 1988.

⁴⁰ Contra, e.g., Finkelberg (1998: 168), who interprets "the *metron* of delightful skill" as (Solon's) elegiac distichs. There is no passage in Greek to this time where *metron* must mean "meter" rather than "measure" in a broader sense. (See following note.) Cf. Theognis 873–76, which concludes: τίς ἄν σέ τε μωμήσαιτο, / τίς δ' ἂν ἐπαινήσαι μέτρον ἔχων σοφίης.

⁴¹ The metrical sense of *metron* may be inferred from Herodotus' reference to an iambic song (Archilochus 19 *IEG*) as a "three-measured *iambos*" at 1.12.2: ἐν ἰάμβφ τριμέτρφ.

The pattern in which evaluative terms that had had a moral and social force took on additional technical meanings in the fifth century was extensive. Kairos, for example, continued to be praised by poets as the ultimate, if elusive, standard for all forms of excellence, and this commonplace can be found among sophistically influenced writers who speak of the importance of kairos in speech. 42 The concept was secularized under the influence of fifth-century science, especially Hippocratic medicine, which adopted the term for the critical turning-point in the progress of a disease. As a critical "right place" or "right time" for action, kairos would be used by the end of the century among rhetoricians for the "opportune" or effective moment in which to deploy a certain style or topos in speech.⁴³ Fifth-century uses of prepon vary similarly: a Thucydidean orator uses the urbane litotes ouk aprepes for a theme "not unsuitable" to his present occasion (2.36.4), and Herodotus calls a certain Egyptian myth "most unseemly" for him to tell in public (2.47.2). These ethical or social scruples could also pertain to discussions of poetry: a scholiast preserves Democritus' critique (B 23 DK) that it was not "fitting" (prepon) for an exasperated Trojan herald (at Iliad 7.390) to exclaim, "I wish Paris had died!" in the hearing of the enemy. If prepon was Democritus' term, it accords with the socially inflected use of the word in Plato's *Ion*, where it means what is "appropriate" for a given kind of person (e.g., male or female, slave or free) to say before a given audience. But in Herodotus, prepon can describe what is appropriate in a given type of story, as when he says Homer discarded an old legend that Helen never went to Troy, "because it was not as appropriate to his epic composition as the one he used" (2.116.1).⁴⁴ The old meanings of prepon, metron, and kairos

For the meaning of the metrical terms in *Clouds* and their probable late fifth-century origins, see T. Cole, *Epiploke* (New Haven, 1988), 10–11, 220 n. 9. The only rhythmical term that comedy assumes its audience knows is *anapaestoi*, regularly used as a metonomy for the (typically anapestic) parabasis (e.g., *Acharnians* 627, *Knights* 504). On the metrics lesson in *Clouds*, see Ford 2001: 105–7.

⁴² Cf. Pindar *Pythian* 4.286 ("For *mortals*, the *kairos* has but a small compass [*metron*]"), Olympian. 13.48, *Pythian* 9.78–79. The *Dissoi logoi* ("Twofold Arguments," 90 DK) quotes Aechylus on the moral centrality of *kairos* (3.12) and four trimeters to the effect that nothing is in all respects fine (*kalos*) or foul (*aiskhron*), but the *kairos* makes each what it is (2.19).

⁴³ E.g., Gorgias in *Palamedes* B 11a 32 pleads that "the present occasion" (i.e., his defense speech) allows an unusually high amount of self-praise. The idea was apparently much used by Gorgias (as Plato jokes: *Gorgias* 448A5): B 13, A 3 DK. On the history of the term *kairos*, particularly with reference to its use in medicine and in rhetoric, see Trédé 1993 and the works cited by Race 1981: 197 n. 1.

⁴⁴ More on this passage in chapter 6. Pohlenz (1933: 54–55) argued that an aesthetic sense of *prepon* and *prepei* arose near the end of the fifth century in Gorgias and Hippias; cf. Lanata 1963: 106, 211, 231, 263–64. But the evidence is questionable: (1) it cannot be assumed that Theagenes used the word; (2) Platonizing language is a concern in the testi-

continued alongside the new, and at the century's end, the discussion in *Frogs* of what makes a good song shows a blend of older notions of piety and social utility with newer interests in purely technical correctness and verbal skill.

Like its Latin translation, decorum, prepei never completely lost its connection with social value. In Aristotle's *Rhetoric*, it governs both the thoroughly linguistic propriety connecting diction to the subject under discussion and the "proper" relation between a speaker's language and his character: "just as a scarlet cloak suits a young man but not an old one." 45 As a practical art, rhetoric cannot flout an audience's moral and social assumptions; yet its technical treatment of language required a separation by which style could be regarded as the mere dress of thought. Rhetorical *kairos* (also expressed by *to prepon*) will refer to an indispensable but not rule-governed sense of when and how to put the tricks of speech to use. The elusiveness and indeterminacy of the "right" or "proper" preserves something of old religious caution, which indeed is an asset to its technical use. It enjoins flexibility in attempts to formalize the elements of effective speech and allows the validity of rhetorical studies to be maintained, even when the rules are followed but the speech does not work: one can say that the rules were not applied at the "right" moment. In the rhetorical system, the relationship between its many specific rules on the one hand and "success" on the other is always undefined and irreducibly "mysterious." The prepon or kairos names a central but unsystematizable value for which one must have a "nose."46

In the rhetorical criticism of the fourth century, *prepei* can express what "fits in" at a given point in a well-composed *text*, without reference to "external" appropriateness. The paradigmatic image for this new form of verbal appropriateness appears in Plato's *Gorgias*, when speakers are urged to follow painters, builders, shipwrights, and other craftsmen who construct self-standing objects by "compelling one part to suit and fit with another" (προσαναγκάζει τὸ ἔτερον τῷ ἐτέρῳ πρέπον τε εἶναι καὶ ἀρμόττειν, 503E). In a famous passage from the *Phaedrus*, appropriateness is internalized to a well-composed text in Socrates' demand that every speech be constructed like a living body, with head, feet, and middle parts composed so as to "fit appropriately with each other and the whole"

mony about Hippias (A 10 DK = Plato *Hippias Minor* 364c, cf. 290B-D). Russell (1981: 88) concludes that "seemliness" in the fifth century can imply "moral and aesthetic" values; for *prepei* continuing to denote social seemliness, cf., e.g., Plato *Ion* 540A-B, Dichaearchus fr. 92 Wehrli (where the idea is expressed in *eutaktos*, "well-behaved").

⁴⁵ Rhetoric 3.2. 1405a13–14. See Halliwell 1986: 344–49. Key discussions of rhetorical *kairos* and *prepon* are in the third book of *Rhetoric* on style, esp. 3.2 and 3.7.

⁴⁶ The metaphor is ancient; cf. *muktēres* ("nostrils") in *Frogs* 893. Further in Most 1984, discussed in chapter 3 below.

(πρέποντα ἀλλήλοις καὶ τῷ ὅλῳ, 264c). Isocrates also uses *prepei* in this sense for the internal coherence of his written "speeches" that were designed to be read as texts. He informs readers of his fictional defense speech, the *Antidosis*, that it is composed of "some things that are fitting to be said in court and other things that do not harmonize with litigious contexts" (ἔνια μὲν ἐν δικαστηρίῳ πρέποντα ἡηθῆναι, τὰ δὲ πρὸς μὲν τοὺς τοιούτους ἀγῶνας οὐχ ἀρμόττοντα), but he avows that the various elements in the text cohere "not without reason nor without a sense of the context (*kairos*), but fitting together with the subject of discussion" (πολλὰ δὲ καὶ τῶν ὑπ' ἐμοῦ πάλαι γεγραμμένων ἐγκαταμεμιγμένα τοῖς νῦν λεγομένοις, οὐκ ἀλόγως οὐδ' ἀκαίρως, ἀλλὰ προσηκόντως τοῖς ὑποκειμένοις).⁴⁷

This development coincided with the first systematic attempts, culminating in the *Poetics*, to analyze the entire range of song types into genres, classes of texts united not by a common social function or mythic origin but by shared formal and thematic properties. The preeminent example is the way Aristotle treats tragedy in his *Poetics*, defining its proper themes and diction in relation to those in other literary forms such as epic, dithyramb, and comedy; in no case does he refer significantly to the social and ritual occasions at which such works were performed. We shall see that Aristotle was very far from being a simplistic thinker, and he certainly recognized that generic conventions arose in the course of human history. But his teleological thinking tended to place less weight on the historical and contingent evolution of poetic forms than on generic form itself, as over time it achieved its true function and end (telos) with greater clarity and efficiency. For example, although the historian of poetry recognized that hexameter epic was the product of social evolution, the teleologist concluded that "trial and error" (peira) had selected the "heroic" meter as the only one that "fits" (harmozei) epic and that others were therefore "inappropriate" (aprepes).48

An important consequence of this view is that the excellence of poems can be assessed by examining their formal structure, above all by searching for a unity of all the elements the poet has deployed toward the end proper to his form. In this way, what I call a specifically "literary" criticism—in Aristotle's terms, a criticism based on principles specific to an

 $^{^{47}}$ Antidosis § § 9–10. Cf. Against the Sophists § 16–17 (= Antidosis § 194), and see Vallozza 1985, Ford 1991. Race (1981: 198) notes that "in the period between Aristophanes and Menander, καιρός becomes increasingly temporal and gradually loses its normative meanings."

⁴⁸ Poetics 1459b31–34: τὸ δὲ μέτρον τὸ ἡρωικὸν ἀπὸ τῆς πείρας ἤρμοκεν. εἰ γάρ τις ἐν ἄλλφ τινὶ μέτρφ διηγηματικὴν μίμησιν ποιοῖτο ἢ ἐν πολλοῖς, ἀπρεπὲς ἂν φαίνοιτο. Only concordance work can make clear how fundamental prepei (aprepēs, to prepon, etc.), the "fitting" (harmottein), and "the proper" (to oikeion) are for the Poetics.

22 INTRODUCTION

"art of poetry"—became an independent and distinct branch of knowledge. For those who were willing, in certain contexts, to dispense altogether with moral and ethical considerations in assessing artistic merit, the loss of these criteria was compensated for by making linguistic form expressive in itself. "Song" had become "poetry," and poetry was a special art of using language, the paradigmatic example of what we have called since the eighteenth century "literature."

For classical critics, a formalistic and technical approach to poetry could still be complemented by exploring how form "appropriately" matched its ethical and social implications. But as it moved from public acts of praising and blaming performances to school lectures or treatises on the optimal form of poetic texts, Greek criticism progressively effaced the social functions not only of song but of criticism as well. To recover these complex and sometimes conflicting roots of criticism, I begin with a closer look at how the social settings that shaped archaic Greek song shaped responses to it as well. For most of the archaic occasions for singing, we are unable to know in detail how the Greeks defined kinds of song and set criteria for their appraisal; but we have abundant evidence for one social institution that regularly included not only singing but discussions of songs and debates on their merits. I thus will turn to the Greek symposium and explore how this set of rituals and customs for drinking together forged a vocabulary and approach to song that, like the symposium itself, spread throughout Greece and had an immense impact on the language and practice of classical criticism.