Foreword

Software systems play an increasingly important role in modern societies. Smart cards for personal identification, e-banking, software-controlled medical tools, airbags in cars, and autopilots for aircraft control are only some examples that illustrate how everyday life depends on the good behavior of software. Consequently, techniques and methods for the development of high-quality, dependable software systems are a central research topic in computer science.

A fundamental approach to this area is to use formal specification and verification. Specification languages allow one to describe the crucial properties of software systems in an abstract, mathematically precise, and implementation-independent way. By formal verification, one can then prove that an implementation really has the desired, specified properties. Although this formal methods approach has been a research topic for more than 30 years, its practical success is still restricted to domains in which development costs are of minor importance. Two aspects are crucial to widen the application area of formal methods:

- Formal specification techniques have to be smoothly integrated into the software and program development process.
- The techniques have to be applicable to reusable software components. This way, the quality gain can be exploited for more than one system, thereby justifying the higher development costs.

Starting from these considerations, Peter Müller has developed new techniques for the formal specification and verification of object-oriented software. The specification techniques are declarative and implementation-independent. They can be used for object-oriented design and programming. To illustrate the techniques and to make them directly applicable, his book develops a detailed framework for the specification and verification of classes and interfaces written in a Java subset.

The main contributions of his work concern the modularity problem. In this context, modularity means that software modules can be specified and verified independently and that their specifications and proofs remain valid under composition. In addition, the specification techniques have to be sufficiently complete to verify a module based on the specifications of the imported modules. Modularity is of critical importance for reuse and the emerging

paradigm of component-based programming, and yet something that is by far underdeveloped in the literature.

One of the difficult aspects of modular verification is the so-called frame problem, that is, the treatment of the modification behavior of methods and procedures. The frame problem is particularly interesting when software modules have an encapsulated state the implementation details of which should not be exposed to the public. Thus, the frame problem is not restricted to object-oriented programming, but is relevant for classical procedural modules as well. To handle encapsulation and modularity, state changes have to be specified in an abstract way, that is, without referring to the hidden variables. The main technique employed is to use so-called abstract variables. However, this approach leads to dependencies between abstract and concrete variables, which cause a major problem for modular verification.

The developed solution to the frame problem builds on and clearly goes beyond existing approaches. It supports very general and expressive dependencies which are important to increase the flexibility of frame property specification. In addition, the higher expressiveness allows one to apply the approach to class invariants by regarding them as abstract boolean variables. That simplifies the formal framework, because a special treatment of invariants becomes dispensable. The key to the developed solutions is a new, type-based technique for alias control. The so-called universe type system enforces a hierarchical structure in the object store and is a valuable contribution in its own right.

All presented concepts and techniques are well motivated and precisely described. In particular, the different aspects of modular programming are nicely explained so that their implications can be followed into the detailed formal framework. I can only wish that many readers take the time to dive into the deep waters of the following chapters. They will be rewarded by interesting and valuable insights and by the beauty of a coherent formal framework solving the sketched problems. I wish even more that the work encourages other researchers to further develop the theory, techniques, and tools for modular specification and verification.

October 2001   *Arnd Poetzsch-Heffter*

Preface

The paradigm shift from procedural to object-oriented programming has promoted modular software development. The reuse of prefabricated software modules has especially increased the demand for precise specifications and quality certification, and thus for *modular* specification and verification techniques. Such techniques must be capable of handling object-oriented language features such as subtyping, inheritance, and dynamic method binding, and have to support modular development of specifications and proofs. In particular, they should enable specifications and proofs to be reused along with implementations.

This book presents modular specification and verification techniques for the functional behavior, frame properties, and type invariants of OO-programs. The key idea underlying this work is the formal integration of state-of-the-art specification and verification techniques with a type system for alias control.

We present the universe type system that can be used to control aliasing statically. It combines strong type constraints for readwrite references with the flexibility of readonly references. This combination guarantees an invariant that enables modular verification while retaining enough flexibility to handle most common implementation patterns, especially patterns such as binary methods and iterators that are not supported by related approaches.

The declarative interface specification technique presented in this book provides pre-post-specifications, abstract fields with explicit dependencies, modifies-clauses, and type invariants. Functional method behavior can be covered by pre-post-specifications. Abstract fields are used to map object structures to values of an abstract domain. The dependencies of an abstract field on the concrete fields that represent it are explicitly declared. Together with modifies-clauses, these declarations are used to express frame properties. Frame properties are particularly difficult to verify in a modular way since they require one to prove that certain abstractions are not modified by a method even if these abstractions are declared in other modules. To cope with this problem, we exploit the invariant guaranteed by the universe type system to define a novel semantics for modifies-clauses and to restrict the permissible dependencies of abstract fields in a way that makes modular verification of frame properties possible. Regarding type invariants as special

abstract fields allows us to apply the specification and verification technique for frame properties to invariants.

For verification, we use a Hoare-style programming logic that is capable of handling OO-features and modularity. In particular, it ensures that only those properties of a module can be proved that hold in all well-formed contexts in which the module might be reused. That is, the logic guarantees the modular soundness of our verification technique.

Our techniques are presented for a programming language similar to sequential Java, but can be adapted to procedural and other object-oriented languages as well.

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